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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Numb r	10/086,283
		Filing Date	February 28, 2002
		First Nam d Inventor	Barbara Paldus
		Group Art Unit	
		Examiner Name	
otal Number of Pages in This Submission	5	Attorney Docket Number	6033-012
	ENCL	OSURES (check all that apply)	
Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group
Fee Attached	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) Notice Of Corresponding Provisional App
Express Abandonment Request	Termin	al Disclaimer	- Information Disclosure Statement – 2 pgs.
Reque		st for Refund	- PTO Form 1449 – 2 pgs.
Information Disclosure Statement	CD, Number of CD(s)		- Cited References (15)
Certified Copy of Priority Document(s) Remai		rks	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNAT	LIRE OF	APPLICANT, ATTORNEY, O	RAGENT

Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name John F. Schipper May 17, 2002 Signature Date

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SF 1156853 v1

Signature



Attorney Docket No.: 6033-012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barbara PALDUS, et al.

U.S. Serial No: 10/086,283

Examiner:

Not yet assigned

Filed: February 28, 2002

Group Art Unit: Unknown

For: LASER TUNING BY SPECTRALLY DEPENDENT SPATIAL FILTERING

INFORMATION DISCLOSURE STATEMENT FILED UNDER 37 C.F.R. 1.97, 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The references cited on attached form PTO-1449 Statement are called to the attention of the Examiner. A copy of each reference is enclosed.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this Statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

The Applicant's representative believes that <u>no fee is required</u> for submission of this Statement, because: (1) the items cited in the Statement were not cited in a communication from a foreign patent office in a counterpart foreign application; and (2) to the knowledge of the Applicant's representative, after reasonable inquiry, the Statement and copies of the enclosed references are being submitted within three months after any individual designated in 37 C.F.R. 1.56 (c) learned of these references.

Attorney Docket No.: 6033-012

Applicant believes that <u>no fee is required</u> for submission of this Statement, because it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to charge such fee to Deposit Account No. 50-1467. Please charge any addition fee or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

Date: 17 May 2002

John T. Schipper John F. Schipper Reg. No. 26,994

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